

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
RM456b

In Re Application Of: **Andrew R. Poulter**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/680,446	10/7/2003	Brian L. Swenson	23996	3618	4480

Title: **Rugged Terrain Robot**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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10/680,446	10/7/2003	Brian L. Swenson	23996	3618	4480

Title: **Rugged Terrain Robot**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0617** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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<u>10/27/05</u> (Date)
<u>Corina K. Aschenbrenner</u> Signature of Person Mailing Correspondence
Corina K. Aschenbrenner Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Rick Martin  
Signature

Dated:

10-27-05

Rick Martin Reg. No. 32,267  
Patent Law Offices of Rick Martin, P.C.  
416 Coffman Street  
Longmont, CO 80501  
Phone: 303.651.2177  
Fax: 303.678.9953

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**RM456b**

In Re Application Of: **Andrew R. Poulter**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/680,446	10/7/2003	Brian L. Swenson	23996	3618	4480

Invention: **Rugged Terrain Robot**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

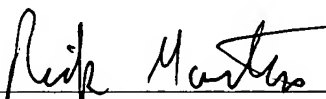
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

Dated: 10 - 27 - 05

**Rick Martin** Reg. No. 32,267  
Patent Law Offices of Rick Martin, P.C.  
416 Coffman Street  
Longmont, CO 80501  
Phone: 303.651.2177  
Fax: 303.678.9953

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10/27/2005  
(Date)

  
Signature of Person Mailing Correspondence

**Corina K. Aschenbrenner**

Typed or Printed Name of Person Mailing Correspondence

CC:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>RM456b</b>		Application Number <b>10/680,446</b>	
				Applicant(s) <b>Andrew R. Poulter</b>			
				Filing Date <b>10/7/2003</b>		Group Art Unit <b>3618</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS			<i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
		Science Fiction News web page printout from <a href="http://www.technovelgy.com">www.technovelgy.com</a> regarding "Throwbot-Pocket-Sized Recon Robot", printed 10/14/2005, attached hereto.	
		SPIE International Symposium on Law Enforcement Technologies, Boston, MA, Abstract and Article download from <a href="http://www.hosc.mil/robots/pubs/SPIE4232A-69.pdf">www.hosc.mil/robots/pubs/SPIE4232A-69.pdf</a> , entitled "Robotics for Law Enforcement: Applications beyond explosive ordinance [sic] disposal", by Hoa G. Nguyen and John P. Bott, Space and Naval Warfare Systems Center, San Diego, CA 92152 USA, attached hereto.	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.